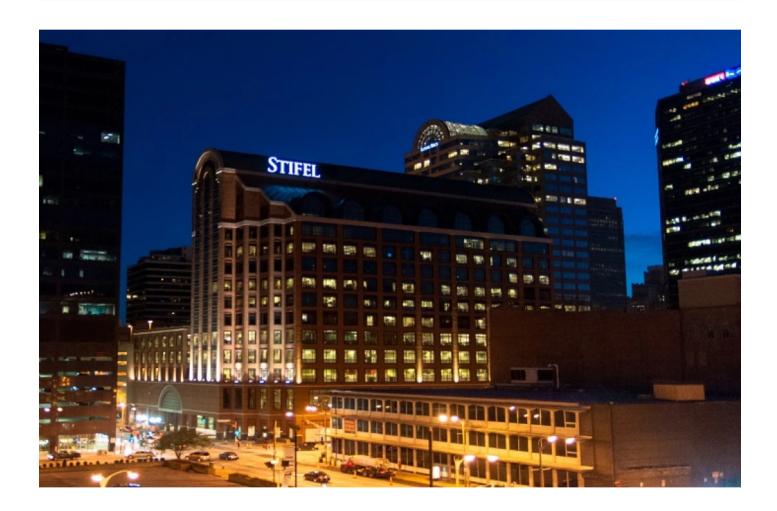
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REGULATION AND COMPLIANCE

Stifel to fight \$14.3M award over broker's structured note recommendations

By Dan Shaw October 07, 2024, 4:15 p.m. EDT 4 Min Read





Stifel is planning to ask a court to vacate a "windfall" FINRA arbitration award that took the rare step of

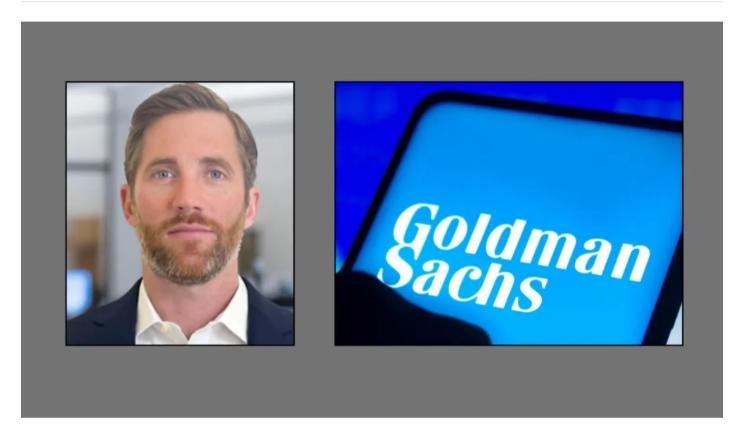
Dan Shaw

Reporter, Financial Planning

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